



3621

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**PATENT APPLICATION**  
**Attorney Docket No. SUN-P4061-JTF**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF )

William H. Connor )

Serial No. 09/489,464 )

Filing Date: January 21, 2000 )

For: METHOD AND APPARATUS FOR )  
CONCURRENCY CONTROL IN A POLICY- )  
BASED MANAGEMENT SYSTEM )

Examiner: Wang, Mary Da Zhi

Group Art Unit: 3621

**RECEIVED**

**MAY 13 2003**

**GROUP 3600**

**AMENDMENT TRANSMITTAL LETTER**

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- ☒ Response under 37 C.F.R. § 1.111 to official action mailed March 18, 2003.
- ☐ A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- ☐ Terminal disclaimer under 37 C.F. R. § 1.321(c), including
  - ☐ check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
  - ☐ 2 certificates under 37 C.F.R. § 3.73(b).
- ☐ Information disclosure statement, form 1449 and \_\_\_ references.
- ☒ No additional claims fees are required.

☐ An additional fee is required, and is calculated as shown below:

AMENDED CLAIMS					
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDT'L FEE
Total Claims		MINUS = 20	0	x \$18 =	
Independent Claims		MINUS = 3	0	x \$84 =	
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					
If small entity status is claimed, subtract 50% of Total Amendment Fee					
<b>TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT</b>					<b>\$0.00</b>

- ☐ A check in the amount of \$\_\_\_\_ is enclosed.
- ☐ Charge \$\_\_\_\_ to Deposit Account No. \_\_\_\_ (Docket No. \_\_\_\_).
- ☒ Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P4061-PJM).

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Respectfully submitted,

By



A. Richard Park  
Registration No. 41,241

Date: May 5, 2003



*Amatt C/13*  
*Lowman*  
*5-15-03*

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**PATENT APPLICATION**  
**Attorney Docket No. SUN-P4061-JTF**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF	)	
William H. Connor	)	Examiner: Wang, Mary Da Zhi
Serial No. 09/489,464	)	Group Art Unit: 3621
Filing Date: January 21, 2000	)	
Title: METHOD AND APPARATUS FOR	)	
CONCURRENCY CONTROL IN A POLICY-	)	
BASED MANAGEMENT SYSTEM	)	

**RESPONSE TO OFFICIAL ACTION UNDER 37 C.F.R. § 1.111**

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Official Action mailed **March 18, 2003**, please consider the following amendments and remarks.